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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/615,645	07/08/2003	Quanxi Jia	S-97,819

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CONFIRMATION NO. 1200
 FORMALITIES
 LETTER

Date Mailed: 08/29/2005

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/28/2005 GWORDOF1 00000058 122150 10615645 FILED UNDER 37 CFR 1.53(b)
 01 FC:2051 65.00 DA *Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- \$65** Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents

P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

P. Phung
Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Rev. 12/09/04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Quanxi Jia

Docket No.: S-97,819

Serial No.: 10/615,645

Examiner:

Filed : 7/8/2003

Art Unit: 1762

For : METHOD FOR PREPARATION OF SEMICONDUCTIVE FILMS

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS

- I. ☒ The following is a reply to the Notice to File Missing Parts of Nonprovisional Application (PTO-1533) dated August 29, 2005.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (Form PTO-1533) is enclosed.
- ☐ A submission of substitute drawings as required by the Notice to File Missing Parts of Nonprovisional Application dated _____ is enclosed.

DECLARATION OR OATH

- II. ☒ No original declaration or oath was filed and enclosed is the original declaration or oath for this application.
- ☐ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

FACSIMILE

☐ transmitted by facsimile to the United States Patent and Trademark Office

Bruce H. Cottrell

Signature

Date November 22, 2005

Bruce H. Cottrell
(type or print name of person certifying)

Attached is a

- ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims _____.

SMALL ENTITY STATUS

- IV. ☒ Applicant is entitled to small entity status.
- ☐ Applicant is other than a small entity.

COMPLETION FEES

- V. 1. Combined Filing Fees

- ☐ **Basic Filing Fee** for patent application 37 CFR 1.16(a))-
\$300.00; Small Entity \$150.00
Search Fee
\$500.00, Small Entity \$250.00
Examination Fee
\$200.00, Small Entity \$100.00

\$ _____

2. Fees for Claims

- ☐ each independent claim in excess of 3
(37 CFR 1.16(b))- \$200.00; small entity-\$100.00
- ☐ each claim in excess of 20 (37 CFR 1.16(c))-
\$50.00; small entity-\$25.00
- ☐ multiple dependent claim(s) (37 CFR 1.16(d))-
\$360.00; small entity-\$180.00

\$ _____

\$ _____

\$ _____

3. Surcharge Fees

- ☐ late payment of Combined Filing Fees

and/or

- ☒ late filing of original Declaration or Oath
(37 CFR 1.16(e))- \$130.00; small entity-\$65.00

\$ 65.00

4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor
(37 CFR 1.47)-\$130.00 \$_____

TOTAL COMPLETION FEES \$ 65.00

EXTENSION OF TIME FEES
(complete (a) or (b) as applicable)

VI. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input checked="" type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$225.00
<input type="checkbox"/> three months	\$ 1020.00	\$510.00
<input type="checkbox"/> four months	\$ 1590.00	\$795.00

EXTENSION OF TIME FEE \$ 60.00

- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

EXTENSION FEE DUE WITH THIS REQUEST \$ 60.00

or

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VII. The total fee due is

Completion fee(s) \$ 65.00
Extension fee (if any) \$ 60.00

TOTAL FEE DUE \$ 125.00

VIII.

- ☐ enclosed is a check in the amount of \$_____
- ☒ charge Account No. 12-2150 in the amount of \$ 125.00

A duplicate of this transmittal is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

IX.

☐ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 12-2150.

☐ 37 CFR 1.16(a), (f), or (g) (filing fees)

☐ 37 CFR 1.16(b), (c), or (d) (presentation of extra claims)

☐ 37 CFR 1.17 (application processing fees)

X. As to any overpayment made please

☒ Credit to Account No. 12-2150.
☐ Send refund check.

Respectfully submitted,

Date: November 22, 2005



Signature of Attorney

Reg. No. 30,620
Phone (505) 667-9168

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